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September 10, 2003 Date	 Signature

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

ALFRED S. LEWIN  
LYNN C. SHAW  
MARIA B. GRANT

Group Art Unit: 1632

Examiner: Unknown

Serial No.: 09/847,601

Atty. Dkt. No.: 4300.014100

Filed: May 1, 2001

Customer No.: 23720

For: ADENO-ASSOCIATED VIRUS-  
DELIVERED RIBOZYME  
COMPOSITIONS AND METHODS FOR  
THE TREATMENT OF RETINAL  
DISEASES

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE  
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, there are enclosed herewith:

- (1) Statement as Required Under 37 C.F.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g) and Substitute Sequence Listing (on paper and computer disk);

- (2) Third Preliminary Amendment; and
- (3) a copy of the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON  
CUSTOMER NO. 23720

Date: September 10, 2003



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(713) 934-7011 (facsimile)

AGENT FOR APPLICANTS



PATENT

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**STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.825(A) and (B)  
AND STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.821(G)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

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September 10, 2003  
Date

*Mark Moore*  
Signature

Submitted herewith is a substitute computer readable form and a substitute paper copy of the sequence listing of those sequences in the captioned patent application, wherein minor errors have been corrected. The substitute computer readable form of the sequence listing is the same as the substitute paper copy of the sequence listing. The sequence information provided in the Specification is also the same as the sequence listing of the enclosed substitute computer readable and paper forms of the sequence listing.

In accordance with 37 C.F.R. § 1.821(g), it is herewith represented that no new matter is included with this submission.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON  
CUSTOMER NO. 23720

Date: September 10, 2003



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